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Chern-Simons-matter dualities with SO and USp gauge groups

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ABSTRACT: In the last few years several dualities were found between the low-energy behaviors of Chern-Simons-matter theories with unitary gauge groups coupled to scalars, and similar theories coupled to fermions. In this paper we generalize those dualities to orthogonal and symplectic gauge groups. In particular, we conjecture dualities between $SO(N)_k$ Chern-Simons theories coupled to N_f real scalars in the fundamental representation, and $SO(k)_{-N+N_f/2}$ theories coupled to N_f real (Majorana) fermions in the fundamental. For $N_f = 0$ these are just level-rank dualities of pure Chern-Simons theories, whose precise form we clarify. They lead us to propose new gapped boundary states of topological insulators and superconductors. For $k = 1$ we get an interesting low-energy duality between N_f free Majorana fermions and an $SO(N)_1$ Chern-Simons theory coupled to N_f scalar fields (with $N_f \leq N - 2$).

KEYWORDS: Chern-Simons Theories, Conformal Field Theory, Duality in Gauge Field Theories, Topological States of Matter

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1 Introduction

Three independent lines of investigation have recently converged on a long list of new dualities, which relate the low-energy (IR) behavior of two different $(2+1)d$ field theories. One source of input came from the condensed matter literature (e.g. [1–6]). Another approach was based on the study of Chern-Simons (CS) theories coupled to matter in the fundamental representation with large N and large k with fixed N/k . In some cases two different theories, one of them fermionic and the other bosonic, were argued [7–9] to be dual to the same Vasiliev high-spin gravity theory on AdS_4 (see e.g. [10]), and thus dual to each other by a duality exchanging strong and weak coupling. Another approach to finding such

dualities with finite N and k is based on starting with a pair of dual $\mathcal{N} = 2$ supersymmetric theories [11–23] and turning on a relevant operator that breaks supersymmetry. If the flow to the IR is smooth, we should find a non-supersymmetric duality [24, 25]. Motivated by this whole body of work, a number of dualities based on unitary gauge groups were conjectured in [26] and elaborated in [27]:

$$\begin{aligned}
N_f \text{ scalars with } \mathrm{SU}(N)_k &\longleftrightarrow N_f \text{ fermions with } \mathrm{U}(k)_{-N+\frac{N_f}{2}, -N+\frac{N_f}{2}} \\
N_f \text{ scalars with } \mathrm{U}(N)_{k,k} &\longleftrightarrow N_f \text{ fermions with } \mathrm{SU}(k)_{-N+\frac{N_f}{2}} \\
N_f \text{ scalars with } \mathrm{U}(N)_{k,k\pm N} &\longleftrightarrow N_f \text{ fermions with } \mathrm{U}(k)_{-N+\frac{N_f}{2}, -N\mp k+\frac{N_f}{2}}
\end{aligned} \tag{1.1}$$

for $N_f \leq N$. All CS theories here and below are viewed as the low-energy limits of the corresponding Yang-Mills-Chern-Simons theories; our conventions and more details on CS couplings and fermion determinants are collected in appendix A. Here and throughout this paper we take $N, k \geq 0$; additional dualities follow from reversing the spacetime orientation in these dualities. The matter fields are in the fundamental representation of the gauge group and it is implicit that the scalars ϕ are at a $|\phi|^4$ fixed point. The $\mathrm{U}(L)$ groups have two levels when $L > 1$. See [27] for more details. The $N = k = N_f = 1$ versions of these dualities were analyzed and coupled to appropriate background fields in [28–30], and their relation to supersymmetric dualities was analyzed in [31, 32], thus providing further evidence for their validity.

Our goal in this paper is to extend this line of investigation to orthogonal and symplectic gauge groups. We will conjecture the following IR dualities:¹

$$\begin{aligned}
N_f \text{ real scalars with } \mathrm{SO}(N)_k &\longleftrightarrow N_f \text{ real fermions with } \mathrm{SO}(k)_{-N+\frac{N_f}{2}} \\
N_f \text{ scalars with } \mathrm{USp}(2N)_k &\longleftrightarrow N_f \text{ fermions with } \mathrm{USp}(2k)_{-N+\frac{N_f}{2}}
\end{aligned} \tag{1.2}$$

The matter fields are in the fundamental representation of the gauge group. The SO dualities are conjectured to hold for $N_f \leq N - 2$ if $k = 1$, $N_f \leq N - 1$ if $k = 2$, and $N_f \leq N$ if $k > 2$. Notice that these dualities, as opposed to the ones considered before, involve real scalars and real (Majorana) fermions. The USp dualities are conjectured to hold for $N_f \leq N$. In the 't Hooft limit of large N and k , with fixed N_f and N/k , these dualities are supported by the same considerable evidence as their $\mathrm{U}(N)$ counter-parts (since the orthogonal and symplectic theories are just projections of the $\mathrm{U}(N)$ theories at leading order in $1/N$).

As a particularly interesting special case, if we set $k = 1$ in the SO dualities, we conjecture that the $\mathrm{SO}(N)_1$ CS theory coupled to N_f scalar fields in the vector representation (with any $N \geq N_f + 2$) flows to N_f free Majorana fermions.

¹For the symplectic groups our notation is $\mathrm{USp}(2N) = \mathrm{Sp}(N)$, and in particular $\mathrm{USp}(2) = \mathrm{Sp}(1) = \mathrm{SU}(2)$. It is worth noting that for the orthogonal groups the level k , which is an integer, is normalized such that the Chern-Simons term is $\frac{k}{2 \cdot 4\pi} \mathrm{Tr} \left(AdA + \frac{2}{3} A^3 \right)$ with a trace in the vector representation. As we will discuss below, the $\mathrm{SO}(N)_k$ theory with even k is a conventional non-spin topological quantum field theory (it does not require a spin structure), while the theory with odd k is spin.

In a companion paper [33] we will discuss a number of non-trivial fixed points with enhanced global symmetry. Every one of them has a number of dual descriptions. The full global symmetry appears classically in some descriptions, but it only appears as a quantum enhanced low-energy symmetry in others. For example, taking special cases of (1.1), all the theories

$$\begin{array}{ccc}
 \text{one scalar with } \mathrm{U}(1)_2 & \longleftrightarrow & \text{one fermion with } \mathrm{U}(1)_{-\frac{3}{2}} \\
 \uparrow & & \uparrow \\
 \text{one fermion with } \mathrm{SU}(2)_{-\frac{1}{2}} & & \text{one scalar with } \mathrm{SU}(2)_1
 \end{array} \tag{1.3}$$

lead to the same nontrivial fixed point with a global $\mathrm{SU}(2)$ symmetry. The two descriptions at the bottom make the $\mathrm{SU}(2)$ global symmetry manifest at the classical level. (Actually, only $\mathrm{SO}(3)$ acts faithfully on gauge invariant operators.) However, the symmetry only appears quantum mechanically in the two descriptions at the top.

The duality between the two theories at the bottom of (1.3) is the simplest example of the USp dualities (1.2), thus providing a nontrivial check of them. Also the duality between the two theories at the top of (1.3) appears among our SO dualities for $\mathrm{SO}(2) = \mathrm{U}(1)$ (1.2), but the SO duality acts on the operators in a different way, that is related to the $\mathrm{U}(1)$ duality by a global $\mathrm{SU}(2)$ rotation. Thus, the enhanced global symmetry plays a crucial role in the consistency checks of the dualities (1.2).

If we set $N_f = 0$ in the suggested dualities (1.1) and (1.2), we find simple dualities involving topological quantum field theories (TQFTs):

$$\begin{array}{ccc}
 \mathrm{SU}(N)_k & \longleftrightarrow & \mathrm{U}(k)_{-N, -N} \\
 \mathrm{U}(N)_{k, k \pm N} & \longleftrightarrow & \mathrm{U}(k)_{-N, -N \mp k} \\
 \mathrm{SO}(N)_k & \longleftrightarrow & \mathrm{SO}(k)_{-N} \\
 \mathrm{USp}(2N)_k & \longleftrightarrow & \mathrm{USp}(2k)_{-N} .
 \end{array} \tag{1.4}$$

These are level-rank dualities in pure Chern-Simons theory. They provide one of the main motivations for the dualities (1.1), (1.2), or conversely, they are a nontrivial check of them. Although there exists a large literature about such level-rank dualities, we could not find a precise version of them. In [27] a careful analysis derived the first two lines in (1.4) and clarified that they hold, in general, only when the theories are spin-Chern-Simons theories (see [27] for details). Below we will provide a similar proof of the orthogonal and symplectic dualities in (1.4) and will establish the need for the theories to be spin.²

The level-rank dualities can be used to show that several TQFTs, although not manifestly so, are time-reversal invariant at the quantum level. We provide a rich set of examples, summarized in table 1. These theories represent new possible gapped boundary states of topological insulators and topological superconductors.

In section 2 we analyze in detail the Chern-Simons-matter dualities for $\mathrm{USp}(2N)$ gauge groups, and in section 3 for $\mathrm{SO}(N)$ groups. In section 4 we comment on the relation to

²However we will also find that for special values of N, k the dualities (1.4) are valid for conventional TQFTs as well. In particular the first SU/U duality is non-spin for N even and $Nk = 0 \bmod 8$, the SO duality is non-spin for N, k even and $Nk = 0 \bmod 16$, and the USp duality is non-spin for $Nk = 0 \bmod 4$.

\mathcal{T} -invariant theories	N	property	framing anomaly
$U(N)_{N,2N}$	even N	\mathcal{T} -invariant spin theory	$(N^2 + 1)/2$
	odd N	need to add ψ	
$PSU(N)_N$	even N	\mathcal{T} -invariant spin theory	$(N^2 - 1)/2$
	odd N	\mathcal{T} -invariant non-spin theory	
$USp(2N)_N$	even N	\mathcal{T} -invariant non-spin theory	N^2
	odd N	need to add ψ	
$SO(N)_N$	odd N	\mathcal{T} -invariant spin theory	
	$N = 0 \bmod 4$	\mathcal{T} -invariant non-spin theory	$N^2/4$
	$N = 2 \bmod 4$	need to add ψ	

Table 1. Some TQFTs that are time-reversal invariant (up to an anomaly) at the quantum level. In each case we indicate whether the theories are spin or non-spin TQFTs, and in the latter case whether they need to be tensored with a trivial spin theory ψ to exhibit time-reversal symmetry.

high-spin gravity theories on AdS_4 . Section 5 gives a detailed description of level-rank dualities for orthogonal and symplectic groups, and section 6 describes their implications for constructing new time-reversal-invariant TQFTs. Appendix A explains our notation and conventions.

After the completion of this work, we received [34] where the duality of free fermions to $SO(N)_1$ with scalars is worked out.

2 Dualities between $USp(2N)$ Chern-Simons-matter theories

In section 5 we will derive and discuss certain dualities of spin-TQFTs that take the form of level-rank dualities:

$$USp(2N)_k \times SO(0)_1 \longleftrightarrow USp(2k)_{-N} \times SO(4kN)_1. \quad (2.1)$$

In our conventions $USp(2N) = SU(2N) \cap Sp(2N, \mathbb{C})$, and further details are collected in appendix A. We recall that a spin-TQFT — as opposed to a conventional topological quantum field theory — can only be defined on a manifold with a spin structure, and if multiple spin structures are possible, then the spin-TQFT will depend on the choice. A spin-TQFT always has a transparent line operator of spin $\frac{1}{2}$. In (2.1) the USp factors are non-spin, while the SO factors are trivial spin-TQFTs (discussed e.g. in [35]), whose presence is important for the duality to work.

We can then add matter in the fundamental representation, bosonic on one side and fermionic on the other, and conjecture new boson/fermion dualities. This is done in such a way that renormalization group (RG) flows in which all matter becomes massive are consistent with (2.1). We thus propose the following dualities between the low-energy

limits of Scalar and Fermionic theories:

Theory S: a $\mathrm{USp}(2N)_k$ theory coupled to N_f scalars with ϕ^4 interactions
and

Theory F: a $\mathrm{USp}(2k)_{-N+\frac{N_f}{2}}$ theory coupled to N_f fermions

for $N_f \leq N$. (The meaning of half-integer CS levels is the standard one, reviewed in appendix A.) More precisely, Theory S also includes the spin-topological sector $\mathrm{SO}(0)_1$ that makes it into a spin theory and provides a transparent line of spin $\frac{1}{2}$. Theory F is already manifestly spin, however to correctly reproduce its framing anomaly³ we include $\mathrm{SO}(4k(N - N_f))_1$. For completeness, let us rewrite the duality including its time-reversed version:

$$\begin{aligned} \mathrm{USp}(2N)_k \times \mathrm{SO}(0)_1 \text{ with } N_f \phi_i &\leftrightarrow \mathrm{USp}(2k)_{-N+\frac{N_f}{2}} \times \mathrm{SO}(4k(N - N_f))_1 \text{ with } N_f \psi_i \\ \mathrm{USp}(2N)_{-k} \times \mathrm{SO}(0)_1 \text{ with } N_f \phi_i &\leftrightarrow \mathrm{USp}(2k)_{N-\frac{N_f}{2}} \times \mathrm{SO}(4kN)_{-1} \text{ with } N_f \psi_i \end{aligned} \quad (2.3)$$

where ϕ_i are scalars and ψ_i are fermions.

Theory S contains N_f complex scalars in the fundamental $\mathbf{2N}$ representation of $\mathrm{USp}(2N)$. Since the representation is pseudo-real, we can rewrite them in terms of $4NN_f$ complex scalars subject to the reality condition $\varphi_{ai}\Omega^{ab}\tilde{\Omega}^{ij} = \varphi_{bj}^*$, where $a = 1, \dots, 2N$ is a fundamental index of $\mathrm{USp}(2N)$, $i = 1, \dots, 2N_f$ is a fundamental index of $\mathrm{USp}(2N_f)$, and Ω^{ab} , $\tilde{\Omega}^{ij}$ are the corresponding symplectic invariant tensors. This description makes the $\mathrm{USp}(2N_f)$ flavor symmetry of the theory manifest. There is one quadratic term and two⁴ quartic terms one can write that preserve the $\mathrm{USp}(2N_f)$ flavor symmetry: in terms of the antisymmetric meson matrix $M_{ij} = \varphi_{ai}\Omega^{ab}\varphi_{bj}$ they are

$$\mathcal{O}^{(2)} = \mathrm{Tr}(\tilde{\Omega}M) = \sum_{ai} |\varphi_{ai}|^2, \quad \mathcal{O}_1^{(4)} = (\mathrm{Tr}(\tilde{\Omega}M))^2, \quad \mathcal{O}_2^{(4)} = \mathrm{Tr}(\tilde{\Omega}M\tilde{\Omega}M). \quad (2.4)$$

All these three terms are relevant in a high-energy Yang-Mills-Chern-Simons theory with these matter fields. We turn them on with generic coefficients and make a single fine-tuning (which we can interpret as the coefficient of the quadratic term). We conjecture that by doing that the long distance theory is at an isolated nontrivial fixed point. It is a generalization of the Wilson-Fisher fixed point, and it has a single $\mathrm{USp}(2N_f)$ -invariant relevant deformation which may be identified with $\mathcal{O}^{(2)}$. Theory S is defined at such a fixed point.

Note that the \mathbb{Z}_2 center of the $\mathrm{USp}(2N)$ gauge group acts in exactly the same way as the \mathbb{Z}_2 center of the $\mathrm{USp}(2N_f)$ global symmetry group. So local gauge-invariant operators actually sit in representations of $\mathrm{USp}(2N_f)/\mathbb{Z}_2$ (e.g. for $N_f = 1$ they always have integer spin under $\mathrm{SU}(2)$). However we can have non-local operators like a φ_{ai} attached to a

³In general, the two sides of the duality have different framing anomalies (see [36]). We fix that by adding a trivial spin-TQFT that has the difference in the anomaly. For our purposes this is the same as a gravitational Chern-Simons term with an appropriate coefficient.

⁴For $N_f = 1$ there is only one independent quartic term.

Wilson line, where the charge of the Wilson line under the center of $\mathrm{USp}(2N)$ is correlated with the charge of the operator that it ends on under the center of $\mathrm{USp}(2N_f)$. In this sense the gauge \times global symmetry group is $(\mathrm{USp}(2N) \times \mathrm{USp}(2N_f))/\mathbb{Z}_2$.

Theory F contains N_f complex spinors in the fundamental $2\mathbf{k}$ representation of $\mathrm{USp}(2k)$, and again, rewriting them in terms of $4kN_f$ Dirac spinors with a reality condition $\psi_{ai}\Omega^{ab}\tilde{\Omega}^{ij} = \psi_{bj}^c$ (where ψ^c is the charge conjugate) makes the $\mathrm{USp}(2N_f)$ flavor symmetry manifest. At high energies the corresponding Yang-Mills-Chern-Simons theory has a single relevant $\mathrm{USp}(2N_f)$ -invariant operator, which is the quadratic mass term. We tune it to zero in the IR, and assume it is the only $\mathrm{USp}(2N_f)$ -invariant relevant operator there. The bare CS levels (see appendix A) are $(N_f - N)$ in (2.2) and N in (2.3).

Notice that while the spin-TQFTs involved in the level-rank duality (2.1) have a \mathbb{Z}_2 one-form global symmetry associated to the center of the group, such a symmetry is broken in the theories with matter because the latter transforms under the center [37]. In fact, the theories in (2.2) do not have any discrete global symmetries.

2.1 RG flows

We cannot prove the dualities in (2.2), however we can perform some consistency checks. For instance, we can connect different dual pairs by RG flows triggered by mass deformations. In both Theories S and F, turning on a mass at high energies leads to turning on the unique relevant deformation of the low-energy conformal field theory (CFT). So turning on a bosonic mass-squared m_ϕ^2 in Theory S should have the same effect at low energies as turning on a fermion mass m_ψ in Theory F.

In Theory S, if we give a positive mass-squared to one of the complex scalars we simply reduce N_f by one unit. However, if we turn on a negative mass-squared, a complex scalar condenses Higgsing the gauge group to $\mathrm{USp}(2N - 2)_k$, in addition to reducing the number of flavors. In Theory F, when giving mass to one of the complex fermions, the phase of its partition function becomes either $e^{-i\pi\eta(A)}$ or 1 in the IR limit, depending on the sign of the mass. In both cases the number of flavors is reduced by one, however in the first case one can use the APS index theorem [38] (see appendix A) to rewrite the leftover regularization term $e^{-i\pi\eta(A)}$ in terms of a shift of the bare gauge and gravitational CS terms. Thus, tuning the mass of the remaining $(N_f - 1)$ flavors to zero, the RG flow leads⁵ to the following pairs:

$$\begin{aligned} \mathrm{USp}(2N)_k \times \mathrm{SO}(0)_1 \text{ with } \phi_i &\leftrightarrow \mathrm{USp}(2k)_{-N+\frac{N_f-1}{2}} \times \mathrm{SO}(4k(N - N_f + 1))_1 \text{ with } \psi_i \\ \mathrm{USp}(2N - 2)_k \times \mathrm{SO}(0)_1 \text{ with } \phi_i &\leftrightarrow \mathrm{USp}(2k)_{-N+\frac{N_f+1}{2}} \times \mathrm{SO}(4k(N - N_f))_1 \text{ with } \psi_i \end{aligned} \quad (2.5)$$

each with $N_f - 1$ matter fields. These are consistent with the proposed duality.

If $N_f < N$, by flowing with different combinations of positive and negative masses squared, in Theory S the gauge group can range between $\mathrm{USp}(2N)_k$ and $\mathrm{USp}(2(N - N_f))_k$, while in Theory F the level can range between $\mathrm{USp}(2k)_{-N}$ and $\mathrm{USp}(2k)_{-N+N_f}$. In all these cases we do not find any inconsistency in the duality. Starting with a dual pair

⁵Here we assume that the RG flow still leads to a non-trivial CFT with a single $\mathrm{USp}(2N_f - 2)$ -invariant relevant operator.

with $N_f = N$, we can give negative mass-squared to all flavors and flow to a dual pair with $N_f = N = 0$. In this case, Theory S is gapped and empty in the IR; Theory F is a $\text{USp}(2k)_0$ Yang-Mills theory, which confines and has a single gapped ground state. Thus the duality is still valid.

On the other hand, consider the case $N_f \geq N + 1$ and turn on generic masses for all the flavors. Theory F flows to a non-trivial topological theory. Let us compare with Theory S. Here generic negative mass-squared for all matter fields Higgses the gauge group completely. The IR theory could be gapped or could have massless Goldstone bosons, but since the gauge group is completely Higgsed, it cannot include a topological sector. Hence, the duality cannot be correct in this case. We conclude that none of the pairs with $N_f \geq N + 1$ can be dual.

2.2 Coupling to background gauge fields

Given that our system has a global $\text{USp}(2N_f)$ symmetry, we can couple it to background gauge fields for that symmetry. Our goal here is to identify the CS counterterms [39] for these fields that are needed for the duality.

Let us start with the scalar side of the duality. We start with a $\text{USp}(2N)_k$ CS theory for the dynamical fields and we can also have $\text{USp}(2N_f)_{k_s}$ for some integer k_s for the classical fields. If we give masses to all scalars such that the gauge symmetry is not Higgsed, then the low energy theory is purely topological. It is a $\text{USp}(2N)_k \times \text{USp}(2N_f)_{k_s}$ CS theory, where the second factor is classical. In the fermionic side of the duality we start with $\text{USp}(2k)_{-N+\frac{N_f}{2}}$ for the dynamical fields and $\text{USp}(2N_f)_{k_f}$ for the classical fields. These mean that the bare CS levels for these two groups are $-N + N_f$ and $k_f + \frac{k}{2}$ respectively. Repeating the mass deformation of the bosonic side we find at low energy a topological $\text{USp}(2k)_{-N}$ as well as a CS counterterm for the classical fields $\text{USp}(2N_f)_{k_f-\frac{k}{2}}$. For this to match with the bosonic side we must choose⁶

$$k_f = k_s + \frac{k}{2} . \quad (2.6)$$

Of course, we have the freedom to add the same CS counterterm on the two sides of the duality. This will add an arbitrary integer to k_s and the same integer to k_f .

We can repeat the same considerations with an opposite sign for the mass deformations. In the scalar theory, Higgsing occurs and the symmetry group is reduced to $\text{USp}(2(N - N_f))_k \times \text{USp}(2N_f)_{k_s+k}$, where the broken part of the gauge group is identified with the flavor group and this causes the shift of the CS counterterm for the classical fields. In the

⁶It is common in the literature to argue for 't Hooft-like anomaly matching conditions restricting the level of CS terms for the global symmetry. These levels could be half-integral in theories with fermions and they are always integral in theories with bosons. Based on that, one might attempt to exclude many of these boson/fermion dualities. Instead, as in appendix A, the fractional part of the CS terms always arise from the dynamics and the bare CS levels are always integral. In the cases where our theories have fermions leading to a fractional level, the bosonic dynamics in the other side of the duality must lead to equal fractional levels. Indeed, our analysis of the renormalization group flow out of the fixed point is consistent with this assertion. However, as we will now show, there do exist non-trivial 't Hooft-like anomaly matching of the integer bare CS terms for the global symmetries.

fermionic theory we find $\mathrm{USp}(2k)_{-N+N_f} \times \mathrm{USp}(2N_f)_{k_f+\frac{k}{2}}$. As a non-trivial check, equality on the two sides requires the very same relation (2.6).

As we discussed above, the global symmetry that acts faithfully on local operators is $\mathrm{USp}(2N_f)/\mathbb{Z}_2$ and this puts restrictions on the CS counterterms. More precisely, in the bosonic side we would like the bare CS terms to be consistent for $(\mathrm{USp}(2N)_k \times \mathrm{USp}(2N_f)_{k_s})/\mathbb{Z}_2$ and the \mathbb{Z}_2 quotient is consistent only for

$$Nk + N_f k_s \in 2\mathbb{Z}. \quad (2.7)$$

In the fermionic side the bare CS terms are $(\mathrm{USp}(2k)_{-N+N_f} \times \mathrm{USp}(2N_f)_{k_s+k})/\mathbb{Z}_2$, where we used (2.6). This is consistent for

$$-kN + 2kN_f + k_s N_f \in 2\mathbb{Z}. \quad (2.8)$$

Fortunately, (2.7) is the same condition as (2.8). In the spirit of 't Hooft anomaly matching, this is a non-trivial consistency check on our duality. The obstruction to the \mathbb{Z}_2 quotient is the same in the two sides of the duality.

When the condition (2.7) is not satisfied, we cannot mod out by the \mathbb{Z}_2 and fewer backgrounds of the gauge fields are allowed. In those cases it might still be possible to extend the $\mathrm{USp}(2N_f)$ classical gauge fields to a $(3+1)d$ bulk and consistently take the \mathbb{Z}_2 quotient there.

2.3 Small values of the parameters

It is instructive to look at the dualities (2.2) for small values of N , k and N_f . We already discussed that the case $N = N_f = 0$ is the statement that $\mathrm{USp}(2k)_0$ confines with a single vacuum. The case $k = 0$ is the statement that $\mathrm{USp}(2N)_0$ with $N_f \leq N$ complex scalars confines with a single vacuum: although we have no proof that this is true, it is surely plausible.

As discussed around (1.3), the case $N = k = N_f = 1$ can be derived from the dualities in [26, 27], giving us more confidence that the duality is correct.

2.4 New fermion/fermion and boson/boson dualities

Combining the dualities for symplectic groups in (2.2) with those for (special) unitary groups in [26, 27], we can find new fermion/fermion and boson/boson dualities.

For instance, we can take (2.2) with $N = N_f = 1$ and combine it with the first duality of (5.5) in [27]. This gives us a fermion/fermion duality

$$\mathrm{USp}(2k)_{-\frac{1}{2}} \text{ with 1 fermion in } \mathbf{2k} \quad \longleftrightarrow \quad \mathrm{U}(k)_{-\frac{3}{2}} \text{ with 1 fermion in } \mathbf{k}. \quad (2.9)$$

(To be precise, the theory on the right should include a decoupled $\mathrm{SO}(2k)_1$ factor.) As we discussed, the duality with $k = 1$ can be derived from [26, 27], but the other ones are new.

Note that for all k the theories in (2.9) have a global $\mathrm{SU}(2)$ symmetry, which is manifest in the l.h.s. of (2.9). On the r.h.s. of the duality we have a manifest $\mathrm{U}(1)$ monopole number symmetry and charge conjugation \mathcal{C} , which does not commute with it because it maps the

monopole number n to $-n$. Our duality suggests that this $U(1) \rtimes \mathbb{Z}_2^C$ classical symmetry is enhanced in the quantum theory to $SU(2)$. The currents that extend the Abelian symmetry to $SU(2)$ must carry monopole charge. We suggest that they are constructed out of the monopole operator and its conjugate in the $U(k)$ theory. Since these carry charge, each of them should be dressed by a fermion to be gauge-invariant.

Similarly, from (2.2) with $k = 1$ and [26, 27] we can obtain the boson/boson duality

$$USp(2N)_1 \text{ with } N_f \text{ scalars in } \mathbf{2N} \quad \longleftrightarrow \quad U(N)_2 \text{ with } N_f \text{ scalars in } \mathbf{N} \quad (2.10)$$

with $N_f \leq N$. Both sides should include $SO(0)_1$ and be regarded as spin theories. The case $N = N_f = 1$ was already found in [26, 27], but the other ones are new. As above, the global symmetry of these theories is $USp(2N_f)$, which is manifest in the l.h.s., thus we conjecture that the manifest $U(N_f)$ and charge conjugation symmetries in the r.h.s. are enhanced to $USp(2N_f)$.

3 Dualities between $SO(N)$ Chern-Simons-matter theories

For orthogonal groups there exists a similar level-rank duality of spin-TQFTs (derived in section 5):

$$SO(N)_k \times SO(0)_1 \quad \longleftrightarrow \quad SO(k)_{-N} \times SO(kN)_1. \quad (3.1)$$

(For our conventions on CS terms see appendix A and footnote 1.) Arguments similar to those of the previous section, and to those used for $SU(N)$ and $U(N)$ groups, suggest a duality between the low-energy limits of:

$$\begin{aligned} &\text{Theory S: an } SO(N)_k \text{ theory coupled to } N_f \text{ real scalars with } \phi^4 \text{ interactions} \\ &\qquad\qquad\qquad \text{and} \\ &\text{Theory F: an } SO(k)_{-N+\frac{N_f}{2}} \text{ theory coupled to } N_f \text{ real fermions.} \end{aligned} \quad (3.2)$$

As we explain below, the duality can only be true for $N_f \leq N - 2$ if $k = 1$, for $N_f \leq N - 1$ if $k = 2$, and for $N_f \leq N$ if $k > 2$. Moreover, one should remember that Theory S includes the trivial spin-topological sector $SO(0)_1$, while Theory F includes $SO(k(N - N_f))_1$. The matter fields are all in the vector representation. There is considerable evidence for this duality at large N and k ; at finite values of N and k we can check its consistency by mass flows, including flows to the level-rank dualities between pure Chern-Simons theories (3.1) described in section 5.

The two low-energy theories are defined by tuning the masses to zero, and assuming that both sides flow to a fixed point, which has a single relevant operator consistent with the global symmetries of the high-energy theory. For finite values of N , k and N_f we do not know when this is true; additional operators could become relevant at low energies, which would prevent the two theories from flowing to the same fixed point, or the fixed point may cease to exist (say because a mass gap develops, or because we spontaneously break some of the flavor symmetries on one side but not the other). The limits on N_f above arise because we show that for larger values of N_f the duality cannot hold, but we conjecture that it does hold for the values mentioned above.

3.1 Flows

We can flow from the duality with (N, k, N_f) to the dualities with $(N, k, N_f - 1)$ and with $(N - 1, k, N_f - 1)$ by adding a mass for a single flavor. Both the mass-squared deformation in Theory S, and the mass deformation in Theory F, are expected to flow to the same relevant operator in the low-energy CFT (which is in the symmetric tensor representation of the $\text{SO}(N_f)$ flavor group). Previously we mentioned the $\text{SO}(N_f)$ -invariant component of this relevant operator, but we assume here that it also has a symmetric-traceless counterpart that is relevant. In theory F we can integrate out the massive flavor, schematically shifting the Chern-Simons level of the remaining low-energy theory by $\pm \frac{1}{2}$, depending on the sign of the mass. In theory S the same flavor, depending on the sign of its mass-squared, either becomes massive, or condenses and breaks the gauge group to $\text{SO}(N - 1)$ (this is all similar to the U and USp cases). In this flow we fine-tune the mass of the remaining $(N_f - 1)$ flavors to zero. The flow to the lower duality exists whenever no additional operator becomes relevant, and whenever the new IR CFT exists; under these assumptions it leads to a new duality between the lower theories S' and F' .

Reversing this logic, if we have a non-trivial duality for some values of (N, k, N_f) , we can assume that the duality holds for all (N', k, N'_f) with $N + N'_f - N_f \geq N' \geq N$ and $N'_f > N_f$. If for some such value the duality fails but we still have a non-trivial theory with no extra relevant operators, then we expect the duality to fail also for the corresponding higher values (since otherwise we get a contradiction by first flowing to the “higher” IR CFT and then performing the mass flow).

When giving a mass to all N_f fermions, Theory F flows to a pure $\text{SO}(k)$ Chern-Simons theory with a level between $(-N)$ and $(N_f - N)$, depending on the signs of the masses of the different flavors. Depending on the same signs, some flavors could condense in theory S, so that its gauge group is between $\text{SO}(N)_k$ and $\text{SO}(N - N_f)_k$. The resulting theories are then dual by level-rank dualities of $\text{SO}(N)$ spin-TQFTs (see section 5), giving a consistency check on our dualities. Note that this assumes $N_f < N$.

For $N_f = N$ and an appropriate sign of the mass deformation, the gauge theory in Theory S is completely broken, and for generic values of the masses all scalars become massive and the theory develops a mass gap. In Theory F for the same masses the low-energy Chern-Simons level vanishes and the fermions are massive, so again we expect a mass gap. For $N_f > N$ with the same choice of signs for the masses for all the flavors, we break the gauge group completely in Theory S, and generically all scalars are massive and we have a mass gap with a trivial theory at low energies. On the other hand, in Theory F for the same choice, all fermions become massive, but we end up with a non-trivial topological theory, so the duality necessarily breaks down for this case, as in the $\text{U}(N)$ and $\text{USp}(2N)$ cases.

3.2 Global symmetries

The UV Yang-Mills-Chern-Simons theories we start from have an $\text{O}(N_f)$ flavor symmetry, as well as two discrete symmetries discussed below. The definition of the IR Theories S and F involves flows from these UV theories that preserve these $\text{SO}(N_f)$ and discrete

symmetries. The $\text{SO}(N_f)$ symmetry allows for a single mass term which needs to be tuned, both on the scalar and on the fermion sides. As in (2.4), for $N_f > 1$ the UV description of Theory S has two possible ϕ^4 terms. In terms of $M_{ij} = \phi_{ai}\phi_{aj}$ (i, j are flavor indices and a is a color index) they are $\mathcal{O}_1^{(4)} = (\text{Tr}(M))^2$ and $\mathcal{O}_2^{(4)} = \text{Tr}(M^2)$. We assume that for generic couplings of these operators they do not lead to any new relevant operator at low energies.⁷ For some small values of N and k there are enhanced continuous symmetries, which we will discuss below.

In addition there are two discrete symmetries, that were discussed in detail in [23]. There is a global “charge conjugation” symmetry \mathcal{C} , which acts on the matter fields as $\phi_{1i} \rightarrow -\phi_{1i}$ and all other ϕ_{ai} are invariant. When \mathcal{C} is gauged the gauge group changes from $\text{SO}(N)$ to $\text{O}(N)$.⁸ The $\text{SO}(N)$ vector indices may be contracted to form singlets either with δ_{ab} or with $\epsilon_{a_1 a_2 \dots a_N}$. Operators that involve the latter contraction are odd under \mathcal{C} , while all others are even. Since the product of two epsilon symbols may be replaced by a sum of products of δ ’s, the symmetry is \mathbb{Z}_2 .

In the fermionic $\text{SO}(k)$ theory, the lowest-dimension operator charged under \mathcal{C} is a baryon operator, involving k fermions contracted with an epsilon symbol. Classically the dimension of this operator is k , and in the quantum theory it has some anomalous dimension. Its $\text{Lorentz} \times \text{SO}(N_f)$ representation is a symmetric product of k spinors which are vectors of $\text{SO}(N_f)$. In the scalar $\text{SO}(N)$ theory a similar contraction vanishes (for $N_f < N$) because of the statistics of the scalar operators. For $N_f = 1$ the lowest-dimension \mathcal{C} -odd operator comes from choosing N different derivative operators acting on the scalar, and then contracting them with an epsilon symbol. Similarly for $N_f > 1$ we need to choose N different combinations of derivatives and flavor indices; when $N_f = N$ we can contract N_f different scalars with no derivatives. In the large N limit with fixed N_f , the classical dimension of the lightest \mathcal{C} -odd operator scales as $N^{3/2}$ [40]. The Lorentz representation of these operators comes from the product of those of the derivative operators that we need to use.

Monopole operators in an $\text{SO}(N)$ theory are characterized by having some quantized flux around them, which can be chosen to be in the Cartan algebra of $\text{SO}(N)$ (this is a semi-classical characterization; in the quantum theory operators with different GNO charges can mix). The lowest one carries one unit of flux under a single $\text{SO}(2)$ subgroup of $\text{SO}(N)$. The flux breaks the $\text{SO}(N)$ gauge group to $(\text{O}(2) \times \text{O}(N-2))/\mathbb{Z}_2$. The smallest monopole charge (which we normalize to be 1) in the $\text{SO}(N)$ theory is defined by requiring mutual locality with matter fields (or Wilson lines) in the vector representation of $\text{SO}(N)$. In a $\text{Spin}(N)$ theory we need to require mutual locality also with fields (or Wilson lines) in the spinor representation, and thus the minimal monopole charge is 2. This implies that the monopoles carry a \mathbb{Z}_2 global “magnetic” symmetry \mathcal{M} , and operators that are allowed in $\text{SO}(N)$ but not in $\text{Spin}(N)$ are odd under \mathcal{M} . Note that unlike in $\text{U}(N)$ theories, the monopoles do not carry a $\text{U}(1)$ global charge (except when the gauge group is $\text{SO}(2)$), but a \mathbb{Z}_2 charge.

⁷For some values of N , k and N_f there are additional fixed points where one or both quartic operators are tuned to zero, and that also have fermionic Gross-Neveu-like duals, but we will not discuss them here.

⁸For N even, this symmetry always exchanges the two spinor representations of $\text{Spin}(N)$. They are in fact complex conjugate representations for $N = 2 \bmod 4$, but not for $N = 0 \bmod 4$.

This description of the monopole operator is not manifestly $\text{SO}(N)$ invariant. Alternatively, we can define this operator by removing a point from our spacetime and specifying a non-trivial bundle on the sphere that surrounds it. Specifically, this monopole corresponds to having nontrivial second Stiefel-Whitney class w_2 on that sphere. This makes it clear that the \mathcal{M} charge is a \mathbb{Z}_2 charge.

Gauge-invariance requires that a monopole in the $\text{SO}(N)_k$ Theory S must come together with k fields charged under the $\text{SO}(2) \subset \text{SO}(N)$ gauge group. Charged scalars in the monopole background carry spin $\frac{1}{2}$ [41], and their scaling dimension is shifted from $\frac{1}{2}$ to 1. Thus the lightest monopole operator that is charged under \mathcal{M} has classical dimension k , and lies in a $\text{Lorentz} \times \text{SO}(N_f)$ representation that is a symmetric product of k spinors that are fundamentals of $\text{SO}(N_f)$.

Charged fermions in a monopole background have integer spins, and in particular each fermion has a zero mode, and defining the monopole operator requires quantizing these N_f zero modes. After quantizing the zero modes, one has to add in the $\text{SO}(k)_{-N+\frac{N_f}{2}}$ Theory F N additional fermionic operators charged under $\text{SO}(2)$, of various integer spins, in order to form a gauge-singlet.

The $\text{Lorentz} \times \text{SO}(N_f)$ representations of these fermionic monopole operators are identical to those of the baryons in Theory S that were described above, and their classical dimensions are also the same (since the fermion modes in the monopole background have the same Lorentz quantum numbers and dimensions as derivatives acting on scalars). In particular their classical dimension in the large N limit scales as $N^{3/2}$ [42] (and this statement is true also quantum mechanically [43]). Similarly, the lightest baryon operator in the fermionic theory has precisely the same quantum numbers and classical dimension as the lightest monopole operator in the scalar theory.

Above we were not careful about precisely which monopole we choose in the $\text{SO}(2)$ theory, and how it transforms under charge conjugation; these issues were discussed in detail in [23], and we review the discussion here. In an $\text{SO}(2) = \text{U}(1)$ theory, there are monopoles V_n that carry n units of the $\text{U}(1)_J$ magnetic charge (topological charge). Charge conjugation in this theory takes n to $(-n)$, so we have one lightest monopole $(V_1 + V_{-1}) = V_+$ which is \mathcal{C} -even, and another $(V_1 - V_{-1}) = V_-$ which is \mathcal{C} -odd. We can choose the $\text{SO}(N)$ monopole discussed above to correspond to either one of these operators in the $\text{SO}(2)$ subgroup. However, since the precise gauge group that remains in the monopole background is $(\text{O}(2) \times \text{O}(N-2))/\mathbb{Z}_2$, if we choose the \mathcal{C} -even monopole operator in $\text{SO}(2)$, we need to dress it by a \mathcal{C} -even operator in $\text{SO}(N-2)$, while if we choose the \mathcal{C} -odd monopole in $\text{SO}(2)$, it has to come with a \mathcal{C} -odd operator in $\text{SO}(N-2)$, in order to be $\text{SO}(N)$ -gauge-invariant. The monopoles we discussed above in $\text{SO}(N)$ theories were singlets of $\text{SO}(N-2)$, so they are \mathcal{C} -even and involve the monopole V_+ in the $\text{O}(2)$ subgroup. In order to form a \mathcal{C} -odd monopole operator (which was called a monopole-baryon in [23]) we need to take V_- and multiply it by a \mathcal{C} -odd operator in $\text{O}(N-2)$, namely a product of $(N-2)$ matter fields contracted with an epsilon symbol (in addition to the extra fields required for canceling the $\text{SO}(2)$ charge of the monopole). Repeating the same arguments as above, we find that the lightest monopole-baryon-operator in both theories F and S

has a classical dimension scaling as $N^{3/2}$ in the large N, k limit with fixed N_f , and the operators also lie in identical $\text{Lorentz} \times \text{SO}(N_f)$ representations in the two theories.

The arguments above strongly suggest that the duality exchanges monopoles and baryons, and takes the monopole-baryon operators to themselves, namely it exchanges the two \mathbb{Z}_2 global symmetries \mathcal{C} and \mathcal{M} . In fact, we can see that this must be the case by performing the mass flow to the pure Chern-Simons theories, and noting (see section 5) that the level-rank duality in these theories indeed exchanges \mathcal{C} with \mathcal{M} . So this gives a nice consistency check for the duality. The fact that the classical dimensions on both sides match (at least at large N) is somewhat surprising, since one would expect their dimension to receive quantum corrections (except for the monopole operator in the fermionic theory which was shown in [43] to receive no quantum corrections to its dimension in the 't Hooft large N limit). This is all very similar to the duality between $\text{SU}(N)$ and $\text{U}(k)$ CS-matter theories, which also exchanges baryon number with monopole number [26, 43].

By gauging \mathcal{C} and/or \mathcal{M} we can find related dualities involving $\text{O}(N)$, $\text{Spin}(N)$ and $\text{Pin}^\pm(N)$ gauge theories. These theories can have additional labels, which are the coefficients of terms like $w_2 w_1$ of the gauge bundle [23]. These can be thought of as CS terms of various discrete gauge fields or as discrete theta parameters analogous to those studied in [37, 44, 45]. We will not discuss them here.

In the $\mathcal{N} = 2$ supersymmetric version of the Chern-Simons-matter dualities between $\text{SO}(N)$ gauge theories [23], the duality maps $\mathcal{C}_{\text{SUSY}}$ to itself, while mapping $\mathcal{M}_{\text{SUSY}}$ to $\mathcal{C}_{\text{SUSY}} \mathcal{M}_{\text{SUSY}}$. Given the fact that one can flow from the supersymmetric theories to the pure Chern-Simons theories, and perhaps also to the non-supersymmetric Chern-Simons-matter theories along the lines of [24, 25], this is confusing. The resolution is that in the $\mathcal{N} = 2$ supersymmetric Chern-Simons-matter theories there is also a complex gaugino field in the adjoint representation. It has $(N - 2)$ zero modes in the monopole background, which are a vector of $\text{SO}(N - 2)$, and their product is odd under $\mathcal{C}_{\text{SUSY}}$. Thus the minimal monopole in the supersymmetric theory, that carries those zero modes, has an opposite charge-conjugation transformation from the minimal monopole in the non-supersymmetric theory (bosonic or fermionic). So we have $\mathcal{M}_{\text{SUSY}} = \mathcal{M}$, but $\mathcal{C}_{\text{SUSY}} = \mathcal{C} \mathcal{M}$ (where \mathcal{C} and \mathcal{M} are defined as above in the non-supersymmetric theory). With this relation, the two dualities are consistent with the flows and identifications discussed above.

3.3 Small values of N and k

When the theories on both sides are non-Abelian it is difficult to check the dualities. However, for $k = 1, 2$, and for $N_f = N - 1, N$, we can (possibly after Higgsing) have Abelian or empty gauge groups, so we can test the dualities in more detail.

When $k = 1$ or $N = 1$ we have no gauge group on one of the two sides, and also no magnetic symmetry, though the charge conjugation symmetry remains and changes the sign of the matter fields. On the fermionic side for $k = 1$ we have N_f free real (Majorana) fermions. On the bosonic side for $N = 1$ we have an $\text{O}(N_f)$ -invariant Wilson-Fisher fixed point, which arises by turning on the quartic operator in the theory of N_f real scalars.

When $k = 2$ or $N = 2$ we have an Abelian gauge theory on one of the two sides. In this theory the magnetic \mathbb{Z}_2 symmetry \mathcal{M} is enhanced to a $\text{U}(1)_J$ symmetry (equal to \mathcal{M}

modulo 2). As mentioned above, the charge conjugation symmetry does not commute with $U(1)_J$: it takes a monopole of $U(1)_J$ charge n to one of charge $(-n)$. In addition, the UV theory in this case is equal to a $U(1)$ Maxwell-Chern-Simons theory (since $U(1)_{k'}$ with N_f flavors is identical to $SO(2)_{k'}$ with N_f flavors) which has, for $N_f > 1$, an enhanced $SU(N_f)$ flavor symmetry.

In the bosonic $N = 2$ theory, the mass operator (which we can write in the $U(1)$ language as $\mathcal{O}^{(2)} = \Phi_i^\dagger \Phi_i$ in terms of complex fields Φ_i carrying $U(1)$ charge 1) is $SU(N_f)$ -invariant. For $N_f = 2$, we have additional gauge-invariant quadratic operators: there is an operator charged under the flavor $SO(2)$ containing $|\Phi_1|^2 - |\Phi_2|^2$ and $(\Phi_1^\dagger \Phi_2 + \Phi_2^\dagger \Phi_1)$ which is \mathcal{C} -even, and a flavor $SO(2)$ singlet $\mathcal{O}_2^{(2)} = (\Phi_1^\dagger \Phi_2 - \Phi_2^\dagger \Phi_1)$ which is \mathcal{C} -odd. So in flows from the UV Yang-Mills-Chern-Simons theory that preserve both $SO(N_f)$ and \mathcal{C} we do not need to consider either one of them, and we still require a single fine-tuning at low energies. For $N_f > 2$ there is only one quadratic $SO(N_f)$ -invariant operator.

At quartic order there is an $SU(N_f)$ -invariant \mathcal{C} -even operator $(\mathcal{O}^{(2)})^2 = \Phi_i^\dagger \Phi_j^\dagger \Phi_i \Phi_j$. For $N_f > 1$, though, there is another $SO(N_f)$ -invariant \mathcal{C} -even quartic operator $\Phi_i^\dagger \Phi_i^\dagger \Phi_j \Phi_j$. When we view the theory as an $SO(2)$ gauge theory, and in particular when we flow to it from higher $SO(N)$ gauge theories, we only preserve the $SO(N_f)$ symmetry, so the latter operator is also turned on during the flow. We expect this extra operator to be irrelevant at the $SU(N_f)$ -invariant fixed point of the $U(1)$ theory, and if so then the $SO(2)$ flow also reaches the same fixed point, at least for some values of the deformations from the UV theory. However, we do not know how to prove that this is the case, and there may be a different flow if the $SU(N_f)$ -non-invariant operator is turned on with a large coefficient. We will assume below that we do end up at the same fixed point. For $N_f = 2$ this extra operator is a linear combination of $(\mathcal{O}^{(2)})^2$ and $(\mathcal{O}_2^{(2)})^2$, and there is another $SO(2)$ -invariant \mathcal{C} -odd operator $\mathcal{O}^{(2)} \mathcal{O}_2^{(2)}$ which will not be turned on in a \mathcal{C} -invariant flow (an additional operator coming from the $SO(2)$ -charged operator squared is a linear combination of these).

In the fermionic $k = 2$ theory we have similar quadratic operators, and the quartic operators are irrelevant in the UV.

Thus, in both theories we expect the $SO(N_f)$ -invariant \mathcal{C} -invariant flow of the $SO(2)$ theory to end up at the same fixed point as that of the $SU(N_f)$ -invariant $U(1)$ flow. We can then use known facts about the latter flow to learn about the status and implications of the $SO(N)$ dualities.

Now let us discuss the dualities for various small values of k and N .

3.4 The $k = 1$ case

For $k = 1$ we have a duality between the theory of N_f free real (Majorana) fermions, and an $SO(N)_1$ theory coupled to N_f real Wilson-Fisher scalars.

For $N = 1$ (implying also $N_f = 1$) this duality is obviously wrong, since the usual Wilson-Fisher fixed point is not free (and thus the duality cannot hold also for higher $N = N_f$ which can flow to this value).

For $N = 2$ we have on the bosonic side the fixed point of $U(1)_1$ coupled to Wilson-Fisher scalars. In this case we need $N_f = 1$, and then the $U(L)$ dualities [26, 28], assuming

they hold for $L = 1$, imply that this scalar theory is dual to a free complex fermion. So under this assumption the SO duality cannot be correct for $(N, k, N_f) = (2, 1, 1)$, and thus also for $k = 1$ and higher values of N with $N_f = N - 1$.

For higher values of N , with $N_f \leq N - 2$, we cannot rule out the $k = 1$ duality by known results. Thus we conjecture an IR duality between:

$$\begin{aligned} &\text{Theory S: an } \text{SO}(N)_1 \text{ theory coupled to } N_f \text{ real scalars with } \phi^4 \text{ interactions} \\ &\qquad\qquad\qquad \text{and} \\ &\text{Theory F: } N_f \text{ free Majorana fermions.} \end{aligned} \tag{3.3}$$

As discussed above, the monopole operator of the scalar theory maps to the real fermion. The lowest case is $N = 3$ and $N_f = 1$, where we have an $\text{SO}(3)_1$ theory with a single scalar in the vector (adjoint) representation flowing to a free Majorana fermion. Since Theory F in this case has no magnetic symmetry, the duality implies that all baryons and monopole-baryons of Theory S decouple at low energies.

3.5 The $N = 1$ case

In this case, since we should have $N_f = 1$, the scalar theory is just a real Wilson-Fisher scalar. The dual fermionic theory has a real fermion coupled to an $\text{SO}(k)_{-\frac{1}{2}}$ CS theory. The case $k = 1$ was already ruled out above. The case $k = 2$ is related to a $\text{U}(1)_{-\frac{1}{2}}$ theory, which maps by the dualities of [26, 28] to a *complex* Wilson-Fisher scalar. So, assuming the validity of the $\text{U}(1)$ duality, the SO duality cannot hold in this case, and thus also for other cases with $k = 2$ and $N_f = N$.

For higher values of k and $N = N_f = 1$, the duality may be correct, namely the $\text{SO}(k)_{-\frac{1}{2}}$ CS theory coupled to a single fermion may flow to the fixed point of a real Wilson-Fisher scalar. Again this implies that all baryonic operators of this theory decouple at low energies.

3.6 The $k = 2$ case

In this case we have a duality between the theory of N_f fermions coupled to $\text{SO}(2)_{-N+\frac{N_f}{2}} = \text{U}(1)_{-N+\frac{N_f}{2}}$, and the theory of N_f real scalars coupled to $\text{SO}(N)_2$. Such a duality implies that the charge conjugation \mathbb{Z}_2 symmetry of the scalar theory is enhanced to $\text{U}(1)$, and its $\text{SO}(N_f)$ flavor symmetry is enhanced to $\text{SU}(N_f)$, at low energies.

As discussed above we can rule out the cases with $N_f = N$, so the lowest case is $N = 2$ and $N_f = 1$. This case is interesting because, as discussed around (1.3), the two dual Abelian theories admit two more non-Abelian descriptions in which the full $\text{SU}(2)$ global symmetry of the fixed point is manifest in the UV.

Our duality maps Theory S, a $\text{U}(1)_2$ CS theory coupled to a complex Wilson-Fisher scalar (for $N_f = 1$ there is no difference between the $\text{SO}(2)$ and $\text{U}(1)$ flows) to Theory F, a $\text{U}(1)_{-\frac{3}{2}}$ CS theory coupled to a complex fermion. The very same two theories, viewed as $\text{U}(1)$ theories, are also mapped to each other by the $\text{U}(1)$ duality of [26–28]. However, interestingly enough, the operator mappings are not the same in the $\text{U}(1)$ duality and the

SO(2) duality: the $U(1) \leftrightarrow U(1)$ duality preserves the magnetic symmetry and the charge conjugation, while the $SO(2) \leftrightarrow SO(2)$ duality exchanges them. Fortunately, this perfectly fits with the enhanced quantum $SU(2)$ global symmetry. In each $U(1)$ CS description there is a manifest $U(1)_J \rtimes \mathbb{Z}_2^C$ magnetic and charge conjugation symmetry. The $U(1)$ duality trivially maps the two copies of $U(1)_J \rtimes \mathbb{Z}_2^C$ one into the other. The $SO(2)$ duality, instead, maps $U(1)_J \rtimes \mathbb{Z}_2^C$ in a nontrivial way, which follows from its embedding inside the global $SU(2)$ symmetry: it is an $SU(2)$ rotation.

For $k = 2$ and $N > 2$ we obtain more complicated dualities, which we cannot rule out. The $U(1)$ dualities map the fermionic $SO(2) = U(1)$ theories to $SU(N)_1$ theories coupled to N_f scalars, so we obtain *boson-boson dualities* between $SU(N)_1$ and $SO(N)_2$ theories coupled to $N_f < N$ Wilson-Fisher scalars (which are complex and real, respectively).

3.7 The $N = 2$ case

For $N = 2$ we have an $SO(2)_k = U(1)_k$ CS theory coupled to N_f charged scalars with Wilson-Fisher couplings; here we can have $N_f = 1$ or $N_f = 2$. The dual for $N_f = 1$ is an $SO(k)_{-\frac{3}{2}}$ theory coupled to a real fermion, and for $N_f = 2$ an $SO(k)_{-1}$ theory coupled to two real fermions. For $k = 1$ and $k = 2$ we have already discussed these theories above.

For $k > 2$ the dual theory is non-Abelian, and we cannot rule the duality out. Again, it implies that the charge conjugation symmetry of the fermionic theory should be enhanced to $U(1)$ at low energies, and its $SO(N_f)$ flavor symmetry to $SU(N_f)$.

The $U(1)$ duality with $N_f = 1$ maps the same scalar theory to an $SU(k)_{-\frac{1}{2}}$ theory coupled to a complex fermion, giving another *fermion-fermion duality* between $SO(k)_{-\frac{3}{2}}$ and $SU(k)_{-\frac{1}{2}}$ theories with one real/complex fermion flavor. For $N_f = 2$ the $U(1)$ duality breaks down, but the $SO(N)$ duality of the previous paragraph may still be valid.

4 Relation to theories of high-spin gravity

The $SO(N)$ theories with $k = 0$ and $N_f = 1$ were the first ones to be suggested to be dual at large N to Vasiliev's high-spin gravity theory on AdS_4 [46, 47]; they are dual to the minimal Vasiliev theory, which has only even-spin excitations. There are two versions of this theory, differing by a discrete parameter, that were argued to be dual to theories of N scalars and N fermions, respectively. This was later generalized to $U(N)$ and $SU(N)$ theories being dual to non-minimal Vasiliev theories that have excitations of all spins. There is an obvious generalization of both dualities to higher N_f , with the $SO(N)$ theory containing $N_f(N_f + 1)/2$ excitations of even spins, and $N_f(N_f - 1)/2$ excitations of odd spins. The Vasiliev theory is only known by its classical equations of motion, so a priori it is not known how to quantize it; the dual field theories with finite N can be viewed as giving a non-perturbative definition of this theory.

For the $U(N)$ scalar/fermion theories, it was argued that a parameter θ_0 in the Vasiliev theory is related to N/k when the $U(N)$ Yang-Mills theory is replaced by $U(N)_k$ [8]. This parameter interpolates between the theory dual to parity-invariant scalars, and the one related to parity-invariant fermions, and this led to the conjectured duality between CS-scalar and CS-fermion theories. The same parameter exists also in the minimal Vasiliev

theories, so it is natural to conjecture that turning it on in the minimal Vasiliev theories corresponds to having $SO(N)_k$ or $USp(2N)_k$ CS-matter theories. Again the fact that the Vasiliev theory has two interpretations, as a CS-scalar and as a CS-fermion theory, suggests that at least at large N the $SO(N)$ and $USp(2N)$ dualities that we discussed above are correct.

At leading order in large N , the orthogonal, symplectic and unitary theories are all the same, consistent with having the same classical equations of motion in the minimal and non-minimal Vasiliev theories (up to having a projection removing half of the fields in the SO and USp cases). However, the one-loop corrections should be different. In particular there should be a discrete parameter distinguishing the $SO(2N)$ and $USp(2N)$ theories, whose effect is to change the sign of all l -loop diagrams with odd values of l . This can be realized by inverting the signs of \hbar and of Newton's constant.⁹ There should also be discrete parameters on the gravity side distinguishing the different versions of the $SO(N)$ theories, where one gauges some of the \mathbb{Z}_2 discrete symmetries.

5 Level-rank dualities with orthogonal and symplectic groups

5.1 Level-rank dualities of 3d TQFTs

Level-rank dualities of 2d chiral algebras can be derived starting from systems of free fermions. For instance, consider a system of Nk free real (Majorana) fermions: writing them as $\psi_{a\tilde{a}}$ with $a = 1, \dots, N$ and $\tilde{a} = 1, \dots, k$ one obtains the following conformal embeddings of chiral algebras (see also [50, 51]):

$$\begin{aligned} \text{Spin}(Nk)_1 &\supset (\text{Spin}(N)_k \times \text{Spin}(k)_N)/\mathbb{Z}_2 & N, k \text{ odd} \\ \text{Spin}(Nk)_1 &\supset \text{Spin}(N)_k \times \text{SO}(k)_N & N \text{ even}, k \text{ odd} \\ \text{Spin}(Nk)_1 &\supset \text{SO}(N)_k \times \text{SO}(k)_N & N, k \text{ even} . \end{aligned} \quad (5.1)$$

Here Spin is the standard Kac-Moody chiral algebra, while $\text{SO} = \text{Spin}/\mathbb{Z}_2$ is the extended chiral algebra [52, 53] obtained from Spin by adding a suitable \mathbb{Z}_2 generator of spectral flow (see below). The \mathbb{Z}_2 quotient in the first line is the extension by the diagonal element. The centers match on the two sides. A series of equalities of chiral algebras follows:

$$\begin{aligned} \text{Spin}(N)_k &\longleftrightarrow \frac{\text{Spin}(Nk)_1}{\text{Spin}(k)_N} & N, k \text{ odd} \\ \text{Spin}(N)_k &\longleftrightarrow \frac{\text{Spin}(Nk)_1}{\text{SO}(k)_N} & N \text{ even}, k \text{ odd} \\ \text{SO}(N)_k &\longleftrightarrow \frac{\text{Spin}(Nk)_1}{\text{Spin}(k)_N} & N \text{ odd}, k \text{ even} \\ \text{SO}(N)_k &\longleftrightarrow \frac{\text{Spin}(Nk)_1}{\text{SO}(k)_N} & N, k \text{ even} . \end{aligned} \quad (5.2)$$

⁹Note that this is not the same as the case where only Newton's constant is inverted, giving a theory in de Sitter space, as studied in [48, 49].

On the right hand sides we have GKO cosets [54]. Moving from two-dimensional chiral algebras to three-dimensional Chern-Simons theories [53], one obtains dualities between the following Lagrangian theories:

$$\begin{aligned}
 \text{Spin}(N)_k &\longleftrightarrow \frac{\text{Spin}(Nk)_1 \times \text{Spin}(k)_{-N}}{\mathbb{Z}_2} & N, k \text{ odd} \\
 \text{Spin}(N)_k &\longleftrightarrow \text{Spin}(Nk)_1 \times \text{SO}(k)_{-N} & N \text{ even}, k \text{ odd} \\
 \text{SO}(N)_k &\longleftrightarrow \frac{\text{Spin}(Nk)_1 \times \text{Spin}(k)_{-N}}{B} & N \text{ odd}, k \text{ even} \\
 \text{SO}(N)_k &\longleftrightarrow \frac{\text{Spin}(Nk)_1 \times \text{SO}(k)_{-N}}{\mathbb{Z}_2} & N, k \text{ even} .
 \end{aligned} \tag{5.3}$$

On the right hand sides, the Lagrangian is the one corresponding to the Lie algebra of the numerator, while the gauge group is the result of the quotient. On the third line, $B = \mathbb{Z}_2 \times \mathbb{Z}_2$ for $k = 0 \bmod 4$ and $B = \mathbb{Z}_4$ for $k = 2 \bmod 4$. We stress that these level-rank dualities of 3d TQFTs (or equivalently of 2d chiral algebras) can be rigorously proven. They have also been analyzed in [55, 56].

Similarly, one can start with a system of $4Nk$ 2d real fermions, and writing them as $4Nk$ complex fermions with a symplectic Majorana condition one obtains the conformal embedding

$$\text{Spin}(4Nk)_1 \supset \text{USp}(2N)_k \times \text{USp}(2k)_N . \tag{5.4}$$

This leads to the duality of chiral algebras

$$\text{USp}(2N)_k \longleftrightarrow \frac{\text{Spin}(4Nk)_1}{\text{USp}(2k)_N} , \tag{5.5}$$

and in terms of three-dimensional Chern-Simons theories one has the duality

$$\text{USp}(2N)_k \longleftrightarrow \frac{\text{Spin}(4Nk)_1 \times \text{USp}(2k)_{-N}}{\mathbb{Z}_2} \tag{5.6}$$

between 3d TQFTs.

5.2 Matching the symmetries

Before going on with the analysis of those dualities, let us fix some notations for orthogonal and symplectic chiral algebras. The center $B(G)$ of the simply-connected group G associated to a Lie algebra \mathfrak{g} acts on the affine Lie algebra G_k as an outer automorphism, and its action is generated by elements σ_i of G_k via spectral flow. In the corresponding 3d CS theory, $B(G)$ appears as a one-form symmetry [37] generated by the lines σ_i . Such lines can act in two different ways on the other lines of the theory: either by “fusion” (or spectral flow), if they are placed parallel to the lines they act upon, or by “monodromy”, if they are wound on a small circle around the other lines.

Whenever the generator of spectral flow has integer dimension, it can be included in the chiral algebra to give an extended chiral algebra; equivalently, in 3d the one-form symmetry can be gauged to give a G_k/H CS TQFT, where H is a subgroup of $B(G)$. When the generator has half-integer dimension, the chiral algebra can be augmented to a

\mathbb{Z}_2 -graded chiral algebra (with half-integer dimensions) that depends on the spin structure; equivalently, in 3d the one-form symmetry can be gauged but the G_k/H CS theory is a spin-TQFT. In our case, the center of $\mathrm{USp}(2N)$ is \mathbb{Z}_2 while the center of $\mathrm{Spin}(N)$ is \mathbb{Z}_2 for N odd, $\mathbb{Z}_2 \times \mathbb{Z}_2$ for $N = 0 \bmod 4$ and \mathbb{Z}_4 for $N = 2 \bmod 4$. Let us identify the corresponding generators of spectral flow.

In $\mathrm{USp}(2N)_k$, the \mathbb{Z}_2 spectral flow is generated by¹⁰

$$\sigma : [\lambda_0, \lambda_1, \dots, \lambda_N] \rightarrow [\lambda_N, \lambda_{N-1}, \dots, \lambda_0] . \quad (5.7)$$

The generator is given by $\sigma = (0, \dots, 0, k)$ with dimension $h(\sigma) = \frac{kN}{4}$. The action of σ via monodromy is

$$Q_\sigma[\lambda] = (-1)^c [\lambda] , \quad (5.8)$$

where the congruence class c of a representation $[\lambda]$ is given by $c = \sum_{j \text{ odd}} \lambda_j \bmod 2$. In particular the self-parity of σ is $(-1)^{Nk}$. For $Nk = 0 \bmod 4$, one can consider the $\mathrm{PUSp}(2N)_k \equiv \mathrm{USp}(2N)_k / \mathbb{Z}_2$ CS theory; for $Nk = 2 \bmod 4$, one can consider the $\mathrm{PUSp}(2N)_k$ spin-CS theory.

In $\mathrm{Spin}(N)_k$ with N odd, the \mathbb{Z}_2 spectral flow is generated by

$$\sigma : [\lambda_0, \lambda_1, \lambda_2, \dots, \lambda_r] \rightarrow [\lambda_1, \lambda_0, \lambda_2, \dots, \lambda_r] . \quad (5.9)$$

The generator is given by $\sigma = (k, 0, \dots, 0)$ with dimension $h(\sigma) = \frac{k}{2}$. The action via monodromy is

$$Q_\sigma[\lambda] = (-1)^{\lambda_r} [\lambda] . \quad (5.10)$$

For N even we have the two spectral flow operations

$$j_s : [\lambda_0, \lambda_1, \dots, \lambda_{r-1}, \lambda_r] \rightarrow \begin{cases} [\lambda_{r-1}, \lambda_r, \lambda_{r-2}, \dots, \lambda_1, \lambda_0] & \text{for } N = 2 \bmod 4 \\ [\lambda_r, \lambda_{r-1}, \lambda_{r-2}, \dots, \lambda_1, \lambda_0] & \text{for } N = 0 \bmod 4 \end{cases} \quad (5.11)$$

and

$$\sigma : [\lambda_0, \lambda_1, \dots, \lambda_{r-1}, \lambda_r] \rightarrow [\lambda_1, \lambda_0, \lambda_2, \dots, \lambda_{r-2}, \lambda_r, \lambda_{r-1}] . \quad (5.12)$$

They are generated by $j_s = (0, \dots, 0, k)$ with dimension $h(j_s) = \frac{Nk}{16}$ and $\sigma = (k, 0, \dots, 0)$ with dimension $h(\sigma) = \frac{k}{2}$. For $N = 2 \bmod 4$ the group structure is \mathbb{Z}_4 : $j_s^2 = \sigma$ and $j_s^4 = \sigma^2 = \mathbb{I}$. The action via monodromy is

$$Q_{j_s}[\lambda] = i^{\frac{N}{2}c} [\lambda] \quad (5.13)$$

where the congruence class is $c = \sum_{j \text{ odd}} \frac{N}{2} - 2 \lambda_j + \lambda_r - \lambda_{r-1} \bmod 4$. For $N = 0 \bmod 4$ the group structure is $\mathbb{Z}_2 \times \mathbb{Z}_2$: $j_s^2 = \sigma^2 = \mathbb{I}$ and $j_s \sigma = \sigma j_s$. The action via monodromy is

$$Q_{j_s}[\lambda] = \begin{cases} (-1)^{c_c} [\lambda] & \text{for } N = 0 \bmod 8 \\ (-1)^{c_s} [\lambda] & \text{for } N = 4 \bmod 8 \end{cases} \quad (5.14)$$

¹⁰We indicate a highest weight representation by its Dynkin labels $(\lambda_1, \dots, \lambda_r)$ or by its extended Dynkin labels $[\lambda_0, \dots, \lambda_r]$, where r is the rank. In $\mathfrak{sp}(2N)$, λ_r refers to the long root. In $\mathfrak{so}(2n+1)$, λ_r refers to the short root, while in $\mathfrak{so}(2n)$, λ_{r-1} and λ_r refer to the two roots at the “bifurcated tail” of the Dynkin diagram.

where $c_s = \sum_{j \text{ odd}}^{\frac{N}{2}-3} \lambda_j + \lambda_r \bmod 2$ and $c_c = \sum_{j \text{ odd}}^{\frac{N}{2}-3} \lambda_j + \lambda_{r-1} \bmod 2$. The action of σ via monodromy is

$$Q_\sigma[\lambda] = (-1)^{\lambda_r + \lambda_{r-1}} [\lambda] \quad (5.15)$$

in both N even cases. The one-form symmetry generated by σ can always be gauged to obtain the $\text{SO}(N)_k$ CS theory: it is a TQFT for k even, and a spin-TQFT for k odd. Only for N, k both even there is another generator j_s that survives the quotient, thus only in this case $\text{SO}(N)_k$ has a \mathbb{Z}_2 one-form symmetry.

Let us also discuss what type of conventional zero-form symmetries the Chern-Simons theories can have. In $\text{Spin}(N)_k$ and $\text{SO}(N)_k$ with N even, one defines a “charge conjugation” \mathbb{Z}_2 symmetry \mathcal{C} that transforms representations as

$$\mathcal{C} : \lambda_{r-1} \leftrightarrow \lambda_r. \quad (5.16)$$

In $\text{SO}(N)_k$ gauging this symmetry gives $\text{O}(N)_k$. Counterterms for the classical gauge field of \mathcal{C} lead to additional parameters in the $\text{O}(N)_k$ theory [23]. In $\text{SO}(N)_k$ with k even, we define a “magnetic” \mathbb{Z}_2 symmetry \mathcal{M} that exchanges the two representations of the extended chiral algebra resulting from a fixed point of the \mathbb{Z}_2 spectral flow of $\text{Spin}(N)_k$ [52, 53]. From the 3d point of view, the magnetic quantum number of a monopole operator is the second Stiefel-Whitney class w_2 of the $\text{SO}(N)$ bundle around its location. This symmetry is gauged when going from $\text{SO}(N)_k$ to $\text{Spin}(N)_k$.

Whenever a three-dimensional TQFT has a \mathbb{Z}_2 one-form global symmetry generated by σ with spin $h(\sigma) = \frac{1}{2} \bmod 1$, we can define a quantum zero-form symmetry \mathcal{K}_σ acting on the lines in the following way:

$$\mathcal{K}_\sigma[\lambda] = \begin{cases} [\lambda] & \text{if } Q_\sigma[\lambda] = [\lambda] \\ \sigma \cdot [\lambda] & \text{if } Q_\sigma[\lambda] = -[\lambda], \end{cases} \quad (5.17)$$

where by $\sigma \cdot [\lambda]$ we mean fusion. This definition guarantees that \mathcal{K} preserves the fusion rules and that $\mathcal{K}[\lambda]$ has the same spin as $[\lambda]$.

Having settled the basic definitions, we can analyze the precise mapping of symmetries between the dual theories in (5.3) and (5.6).

Consider

$$\text{Spin}(N)_k \longleftrightarrow \frac{\text{Spin}(Nk)_1 \times \text{Spin}(k)_{-N}}{\mathbb{Z}_2} \quad N, k \text{ odd}, \quad (5.18)$$

where the quotient is generated by $\sigma \otimes \sigma$ (which has fixed points). Both sides have a \mathbb{Z}_2 one-form global symmetry, and the map of generators is¹¹ $\sigma \leftrightarrow \sigma \otimes \mathbb{I} \sim \mathbb{I} \otimes \sigma$. Both sides have \mathbb{Z}_2 zero-form symmetry. On the r.h.s. it is the quantum symmetry \mathcal{K}_σ . On the l.h.s. it is the magnetic symmetry $\mathcal{M}_{\mathbb{Z}_2}$ associated to the fixed points of the \mathbb{Z}_2 quotient. The map of generators is $\mathcal{K}_\sigma \leftrightarrow \mathcal{M}_{\mathbb{Z}_2}$.

Consider

$$\text{Spin}(N)_k \longleftrightarrow \text{Spin}(Nk)_1 \times \text{SO}(k)_{-N} \quad N \text{ even}, k \text{ odd}. \quad (5.19)$$

¹¹Here and in the following, \sim means identification by the quotient.

Both sides have a $\mathbb{Z}_2 \times \mathbb{Z}_2$ one-form global symmetry for $N = 0 \bmod 4$, and \mathbb{Z}_4 for $N = 2 \bmod 4$. The map of generators is $j_s \leftrightarrow j_s \otimes \mathbb{I}$, $\sigma \leftrightarrow \sigma \otimes \mathbb{I}$. Both sides have a $\mathbb{Z}_2 \times \mathbb{Z}_2$ zero-form symmetry, and the map of generators is $\mathcal{CK}_\sigma \leftrightarrow \mathbb{I} \otimes \mathcal{M}$, $\mathcal{K}_\sigma \leftrightarrow \mathcal{C} \otimes \mathbb{I}$.

Consider

$$\mathrm{SO}(N)_k \longleftrightarrow \frac{\mathrm{Spin}(Nk)_1 \times \mathrm{Spin}(k)_{-N}}{B} \quad N \text{ odd, } k \text{ even} . \quad (5.20)$$

For $k = 2 \bmod 4$, $B = \mathbb{Z}_4$ is generated by $j_s \otimes j_s$, while for $k = 0 \bmod 4$, $B = \mathbb{Z}_2 \times \mathbb{Z}_2$ is generated by $j_s \otimes j_s$ and $\sigma \otimes \sigma$ (the quotient has no fixed points). Both sides have no zero-form symmetry (on the r.h.s., all generators of the numerator are projected out by the quotient). The zero-form symmetry is \mathbb{Z}_2 and the map of generators is $\mathcal{M} \leftrightarrow \mathcal{CK}_\sigma$ (on the r.h.s., all other generators do not commute with the quotient action and are thus broken).

Consider

$$\mathrm{SO}(N)_k \longleftrightarrow \frac{\mathrm{Spin}(Nk)_1 \times \mathrm{SO}(k)_{-N}}{\mathbb{Z}_2} \quad N, k \text{ even} , \quad (5.21)$$

where the quotient is generated by $j_s \otimes j_s$ (with no fixed points). On both sides the one-form global symmetry is \mathbb{Z}_2 and the map of generators is

$$j_s \leftrightarrow \begin{cases} j_s \otimes \mathbb{I} \sim \mathbb{I} \otimes j_s & \frac{Nk}{4} \text{ even} \\ \sigma j_s \otimes \mathbb{I} \sim \sigma \otimes j_s & \frac{Nk}{4} \text{ odd.} \end{cases} \quad (5.22)$$

On the r.h.s., all other generators are projected out by the quotient. The zero-form symmetry is $\mathbb{Z}_2 \times \mathbb{Z}_2$, and the map of generators is $\mathcal{C} \leftrightarrow \mathbb{I} \otimes \mathcal{M}$, $\mathcal{M} \leftrightarrow \mathbb{I} \otimes \mathcal{C}$.

Finally, consider

$$\mathrm{USp}(2N)_k \longleftrightarrow \frac{\mathrm{Spin}(4Nk)_1 \times \mathrm{USp}(2k)_{-N}}{\mathbb{Z}_2} , \quad (5.23)$$

where the quotient is generated by $j_s \otimes \sigma$ (with no fixed points). On both sides the one-form symmetry is \mathbb{Z}_2 and the map of generators is

$$\sigma \leftrightarrow \begin{cases} j_s \otimes \mathbb{I} \sim \mathbb{I} \otimes \sigma & Nk \text{ even} \\ \sigma j_s \otimes \mathbb{I} \sim \sigma \otimes \sigma & Nk \text{ odd.} \end{cases} \quad (5.24)$$

The zero-form symmetry is \mathbb{Z}_2 for $Nk = 2 \bmod 4$ and nothing otherwise. The map of generators is $\mathcal{K}_\sigma \leftrightarrow \mathbb{I} \otimes \mathcal{K}_\sigma$.

5.3 Level-rank dualities of spin-TQFTs

So far we have discussed level-rank dualities between TQFTs. We can obtain simpler dualities if we consider spin-TQFTs. As we explain below, we obtain the following:

$$\mathrm{SO}(N)_k \times \mathrm{SO}(0)_1 \longleftrightarrow \mathrm{SO}(k)_{-N} \times \mathrm{SO}(Nk)_1 \quad (5.25)$$

$$\mathrm{USp}(2N)_k \times \mathrm{SO}(0)_1 \longleftrightarrow \mathrm{USp}(2k)_{-N} \times \mathrm{SO}(4Nk)_1 . \quad (5.26)$$

We recall that $\mathrm{SO}(N)_1$ is a trivial spin-TQFT with two transparent lines of spins $\{0, \frac{1}{2}\}$ and with framing anomaly $c = \frac{N}{2}$ (see e.g. [35]).

Before deriving (5.25) and (5.26), let us discuss the symmetries and their map, starting with the orthogonal case (5.25). As we discussed after (5.15), $\mathrm{SO}(N)_k$ has a \mathbb{Z}_2 one-form global symmetry for N, k both even, and not otherwise. Thus the one-form symmetries match. Moreover, $\mathrm{SO}(N)_k$ has a charge conjugation \mathbb{Z}_2 zero-form symmetry \mathcal{C} for N even, and a magnetic \mathbb{Z}_2 symmetry \mathcal{M} for k even.¹² Those two symmetries are exchanged in the duality (5.25),

$$\mathcal{C} \longleftrightarrow \mathcal{M}, \quad (5.27)$$

as it also follows from the derivation of the duality that we give below.

In the symplectic case (5.26), on both sides there is a \mathbb{Z}_2 one-form global symmetry generated by σ , and a quantum zero-form symmetry \mathcal{K}_σ for $Nk = 2 \bmod 4$.

Note that in the CS theories with matter in the fundamental representation discussed in the main text, both for gauge group SO and USp , the possible one-form global symmetry is broken by the presence of matter [37].

Next, we derive the dualities (5.25) and (5.26). The simplest case is to start with $\mathrm{Spin}(N)_k \leftrightarrow \mathrm{Spin}(Nk)_1 \times \mathrm{SO}(k)_{-N}$ with N even, k odd. We can gauge the \mathbb{Z}_2 one-form symmetry generated by $\sigma \leftrightarrow \sigma \otimes \mathbb{I}$ to directly obtain (5.25). Since k is odd, $\mathrm{SO}(N)_k$ is a spin theory and hence adding $\mathrm{SO}(0)_1$ does not change it. Another simple case is to start with $\mathrm{Spin}(N)_k \leftrightarrow (\mathrm{Spin}(Nk)_1 \times \mathrm{Spin}(k)_{-N})/\mathbb{Z}_2$ with N, k odd. The quotient is by $\sigma \otimes \sigma$, and it preserves the \mathbb{Z}_2 generator $\sigma \leftrightarrow \sigma \otimes \mathbb{I} \sim \mathbb{I} \otimes \sigma$. If we gauge the latter one-form symmetry as well, we obtain

$$\mathrm{SO}(N)_k \longleftrightarrow \frac{\mathrm{Spin}(Nk)_1}{\mathbb{Z}_2} \times \frac{\mathrm{Spin}(k)_{-N}}{\mathbb{Z}_2}, \quad (5.28)$$

which is precisely (5.25).

To get the other cases, we make use of the following identity of spin-TQFTs:

$$\mathrm{Spin}(2L)_1 \times \mathrm{SO}(0)_1 \longleftrightarrow \mathrm{SO}(2L)_1 \times (\mathbb{Z}_2)_{-L}, \quad (5.29)$$

discussed in [35]. Starting from $\mathrm{USp}(2N)_k \leftrightarrow (\mathrm{Spin}(4Nk)_1 \times \mathrm{USp}(2k)_{-N})/\mathbb{Z}_2$, we multiply both sides by $\mathrm{SO}(0)_1$ making them into spin theories, then apply (5.29) and obtain

$$\mathrm{USp}(2N)_k \times \mathrm{SO}(0)_1 \longleftrightarrow \frac{\mathrm{SO}(4Nk)_1 \times (\mathbb{Z}_2)_{-2Nk} \times \mathrm{USp}(2k)_{-N}}{\mathbb{Z}_2}. \quad (5.30)$$

The theory $(\mathbb{Z}_2)_{-2Nk}$ is a TQFT with $\mathbb{Z}_2 \times \mathbb{Z}_2$ one-form symmetry. The \mathbb{Z}_2 quotient is generated by pairing σ in $\mathrm{USp}(2k)_{-N}$, whose spin is $h = -\frac{Nk}{4} \bmod 1$, with a generator in $(\mathbb{Z}_2)_{-2Nk}$ that has opposite spin. In the quotient $\mathrm{SO}(4Nk)_1$ remains as a spectator. The product of $\mathrm{USp}(2k)_{-N}$ by $(\mathbb{Z}_2)_{-2Nk}$ gives four times as many fields, however the freely-acting quotient by \mathbb{Z}_2 reduces to the original ones (one can check that the surviving states have the same dimensions as the original ones). Thus one has a simple duality of TQFTs:

¹²For k even, the \mathbb{Z}_2 quotient $\mathrm{Spin}(N)_k/\mathbb{Z}_2 = \mathrm{SO}(N)_k$ has fixed points, but not for k odd when $\mathrm{SO}(N)_k$ is spin.

$((\mathbb{Z}_2)_{-2Nk} \times \mathrm{USp}(2k)_{-N})/\mathbb{Z}_2 \leftrightarrow \mathrm{USp}(2k)_{-N}$. This leads to the duality in (5.26). Exactly the same reasoning can be applied to the case $\mathrm{SO}(N)_k \leftrightarrow (\mathrm{Spin}(Nk)_1 \times \mathrm{SO}(k)_{-N})/\mathbb{Z}_2$ with N, k even. We multiply both sides by $\mathrm{SO}(0)_1$, then we apply (5.29), and finally observe that the quotient can be “unfolded” by the simple duality: $((\mathbb{Z}_2)_{-2nm} \times \mathrm{SO}(2m)_{-2n})/\mathbb{Z}_2 \leftrightarrow \mathrm{SO}(2m)_{-2n}$. Here we set $N = 2n$ and $k = 2m$. This leads to (5.25).

The last case is $\mathrm{SO}(N)_k \leftrightarrow (\mathrm{Spin}(Nk)_1 \times \mathrm{Spin}(k)_{-N})/B$, with N odd, k even. After multiplication by $\mathrm{SO}(0)_1$ and application of (5.29), we obtain

$$\mathrm{SO}(N)_k \times \mathrm{SO}(0)_1 \longleftrightarrow \mathrm{SO}(Nk)_1 \times \frac{(\mathbb{Z}_2)_{-\frac{Nk}{2}} \times \mathrm{Spin}(k)_{-N}}{B}. \quad (5.31)$$

This case is a little bit more intricate. For $Nk = 0 \bmod 4$, $B = \mathbb{Z}_2^{(j_s)} \times \mathbb{Z}_2^{(\sigma)}$. The first factor is obtained by pairing j_s in $\mathrm{Spin}(k)_{-N}$, whose spin is $h(j_s) = -\frac{Nk}{16} \bmod 1$, with a generator in $(\mathbb{Z}_2)_{-\frac{Nk}{2}}$ that has the opposite spin. Therefore the first quotient is non-spin. The second factor is generated by $W_{1,0} \otimes \sigma$ (in the notation of [35]) and it is a spin quotient. We can first use $(\mathbb{Z}_2)_{-\frac{Nk}{2}}$ to unfold the quotient by $\mathbb{Z}_2^{(j_s)}$, and be left with $\mathrm{Spin}(k)_{-N}/\mathbb{Z}_2^{(\sigma)} = \mathrm{SO}(k)_{-N}$. This reproduces (5.25). For $Nk = 2 \bmod 4$, $B = \mathbb{Z}_4$. Its generator is obtained by pairing j_s in $\mathrm{Spin}(k)_{-N}$ with a generator in $(\mathbb{Z}_2)_{-\frac{Nk}{2}}$ that has the opposite spin. This quotient has no fixed points, and its effect is to restrict to the lines with $c = 0 \bmod 4$ with respect to j_s , times the transparent lines $\{0, \frac{1}{2}\}$. This is precisely the effect of the spin quotient $\mathrm{Spin}(k)_{-N}/\mathbb{Z}_2^{(\sigma)}$ for N odd. Again we end up with (5.25).

5.4 More non-spin level-rank dualities

For special values of N, k the spin dualities (5.25)–(5.26) can be upgraded to non-spin dualities. This uses the fact when $N = 0 \bmod 8$, the spin duality (5.29) can be replaced by the non-spin duality

$$\mathrm{Spin}(16L)_1 \longleftrightarrow (\mathbb{Z}_2)_0 \times (E_8)_1^L. \quad (5.32)$$

We will omit the trivial TQFT $(E_8)_1$. For even N, k and $Nk = 0 \bmod 16$, repeating the derivation of (5.25) with (5.32) replacing (5.29) gives the non-spin duality

$$\mathrm{SO}(N)_k \longleftrightarrow \mathrm{SO}(k)_{-N}. \quad (5.33)$$

Similarly, for $Nk = 0 \bmod 4$, repeating the derivation of (5.26) with (5.32) gives the non-spin duality

$$\mathrm{USp}(2N)_k \longleftrightarrow \mathrm{USp}(2k)_{-N}. \quad (5.34)$$

A special case of (5.33) is $\mathrm{SO}(8L)_2 \leftrightarrow \mathrm{SO}(2)_{-8L}$, which can be rewritten as $\mathrm{SU}(8L)_1 \leftrightarrow \mathrm{U}(1)_{-8L}$ using some relation in appendix A. In turn this can be used in the arguments of [27] to show the non-spin duality

$$\mathrm{SU}(N)_k \longleftrightarrow \mathrm{U}(k)_{-N} \quad (5.35)$$

for even N and $Nk = 0 \bmod 8$.

In the next section we will make use of the non-spin level-rank dualities (5.33) and (5.34) to find new \mathcal{T} -invariant TQFTs.

6 \mathcal{T} -invariant TQFTs from level-rank duality

It is of considerable interest to find topological field theories that are time-reversal invariant (\mathcal{T} -invariant) up to an anomaly, because they can lead to gapped boundary states of topological insulators or topological superconductors. Some known examples are based on Chern-Simons theories with various product groups and possibly appropriate quotients [35, 57–63]. It turns out that level-rank duality is a powerful tool to find new examples.¹³ Specifically, a level-rank duality that exchanges $N \leftrightarrow k$, when applied to a theory with $N = k$, shows that such a theory is \mathcal{T} -invariant quantum mechanically.

Let us examine a few examples. First, from the dualities of spin-TQFTs (4.15), (4.19) in [27], and (5.25), (5.26) here, we find spin-TQFTs that are \mathcal{T} -invariant, up to an anomaly. In some cases the theory involved is already a spin theory. In some other cases the theory is not spin but the level-rank duality, and therefore \mathcal{T} -invariance, only holds after we tensor with a trivial spin theory, which we denote by ψ . Second, from the dualities of non-spin TQFTs (4.18) in [27] and (5.33), (5.34) here we find conventional TQFTs that are \mathcal{T} -invariant, up to an anomaly. This leads to the examples in table 1.

The special case $\mathrm{SO}(3)_3$ appears in the literature as $\mathrm{SU}(2)_6/\mathbb{Z}_2$ [57]. The special case $\mathrm{U}(1)_2$ is known as the “fermion/semion theory” [57] and was discussed recently in [28, 35].

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A Notations and useful facts about Chern-Simons theories

Let us start by reviewing some facts about the fermion determinant [64, 65] and our notation. A $(2+1)d$ fermion coupled to a gauge field A and transforming in a complex or pseudo-real representation \mathbf{r} has partition function

$$Z_\psi = |Z_\psi| \exp(-i\pi\eta(A)/2) , \quad (\text{A.1})$$

¹³We thank E. Witten for a useful discussion about this point.

where $\eta(A)$ is the eta-invariant of the Dirac operator and the sign in the exponent is a matter of convention. For a fermion in a real representation, the phase of the partition function is $\exp(-i\pi\eta(A)/4)$ instead.

By the Atiyah-Patodi-Singer index theorem [38],

$$e^{i\pi\eta(A)} = \exp\left(2\pi i \int_X \widehat{A}(R) \text{Tr}_{\mathbf{r}} e^{F/2\pi}\right) = \exp\left(2ix_{\mathbf{r}} \int \text{CS}(A) + 2i(\dim \mathbf{r}) \int \text{CS}_{\text{grav}}\right) \quad (\text{A.2})$$

where X is a bulk four-manifold that bounds the three-manifold, $x_{\mathbf{r}}$ is the Dynkin index of the representation \mathbf{r} ,¹⁴ and $\int \text{CS}_{\text{grav}} = \pi \int_X \widehat{A}(R)$ is the gravitational Chern-Simons term. In particular $e^{-in \int \text{CS}_{\text{grav}}}$ is the partition function of the almost trivial spin-TQFT $\text{SO}(n)_1$.

The Lagrangian of a Chern-Simons-matter theory can include a bare Chern-Simons term k_{bare} . This must be properly normalized. For example, if the gauge group is $\text{SU}(N)$ we have $k_{\text{bare}} \in \mathbb{Z}$, while in $\text{SU}(N)/\mathbb{Z}_N$ we must have $k_{\text{bare}} \in N\mathbb{Z}$. In (1.1), (1.2) and below we define the level k as the sum of the bare value and the possibly fractional value δk that comes from (A.1):

$$k = k_{\text{bare}} - \delta k, \quad (\text{A.3})$$

where $\delta k = x_{\mathbf{r}}$ for complex or pseudo-real representations, and $\delta k = \frac{1}{2}x_{\mathbf{r}}$ for real representations.

Let us collect some useful formulas. First, in our notation:

$$\begin{aligned} \text{SO}(2)_k &= \text{U}(1)_k, & \text{Spin}(2)_k &= \text{U}(1)_{4k} \\ \text{SO}(3)_k &= \text{SU}(2)_{2k}/\mathbb{Z}_2, & \text{Spin}(3)_k &= \text{SU}(2)_{2k}. \end{aligned} \quad (\text{A.4})$$

Second, chiral algebras at small level satisfy special relations:

$$\text{SO}(N)_2 \leftrightarrow \text{SU}(N)_1. \quad (\text{A.5})$$

Finally, the theories of a $\text{U}(1)$ or \mathbb{Z}_2 gauge field with an action expressed in terms of the eta-invariant are dual to simple spin-TQFTs:

$$\begin{aligned} \text{SU}(N)_1 \times \text{SO}(0)_1 &\leftrightarrow S = -N\pi\eta(\text{U}(1) \text{ gauge field}) \\ \text{Spin}(N)_1 \times \text{SO}(0)_1 &\leftrightarrow S = -\frac{N\pi}{2}\eta(\mathbb{Z}_2 \text{ gauge field}), \end{aligned} \quad (\text{A.6})$$

where $\text{SO}(1)_1$ and $\text{Spin}(1)_1$ are the spin and non-spin Ising TQFT, respectively [35]. These dualities are proven in [27] and [35].

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¹⁴For $\text{SU}(N)$, the fundamental has $x_{\mathbf{r}} = \frac{1}{2}$ and the adjoint has $x_{\mathbf{r}} = N$. For $\text{SO}(N)$, the vector has $x_{\mathbf{r}} = 1$ and the adjoint has $x_{\mathbf{r}} = N - 2$. For $\text{USp}(2N)$, the fundamental has $x_{\mathbf{r}} = \frac{1}{2}$ and the adjoint has $x_{\mathbf{r}} = N + 1$.

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